

## SECURITIES SETTLEMENT INSTRUCTION FORM 证券交收指示表格

Client Name 客户名称: \_\_\_\_\_

Account Number 客户号码: \_\_\_\_\_

Please input the following Settlement Instruction (SI/ISI) to effect settlement with details as follow.

请按下列资料办理证券交收手续。

|  |  |  |                           |               |
|--|--|--|---------------------------|---------------|
| Securities Market<br>证券市场  | <input type="checkbox"/> Hong Kong 香港 <input type="checkbox"/> Others: _____                             |  |                           |               |
| Settlement Method<br>交收方法  | <input type="checkbox"/> SI 股票交收指示 <input type="checkbox"/> ISI 投资者交收指示                                  |  |                           |               |
| Instruction Type<br>指示类别   | <input type="checkbox"/> Receipt of Securities 存入证券 <input type="checkbox"/> Delivery of Securities 提取证券 |  |                           |               |
| Counterparty Participant ID<br>交收对手参与者编号   | Name of Counterparty<br>交收对手名称   | Counterparty Contact Person and Tel No.<br>交收对手之联络人及电话   | Settlement Date<br>交收日期   |               |
| Payment Instruction 收款/付款指示:<br>"Free of Payment" will be default payment instruction if neither choice in the right box is selected.<br>如客户没有选择右方指示,将以“无需付款交收”执行是次指示。<br><br>All charges in relation to the settlement instructions will be debited from the client's securities account in Tai Fung Securities Limited.<br>相关费用将于客户之大丰证券有限公司股票户口内扣除。 |  | Please select ONE of the choices 请选择其中一项:<br><input type="checkbox"/> Free of Payment 无需付款交收<br><input type="checkbox"/> Deliver Versus Payment 货银对付交收<br><input type="checkbox"/> Real Time Delivery/Receipt Versus Payment 实时货银对付<br><br>Remarks 备注: |                           |               |
| Stock Code<br>股票编号   | Stock Name<br>股票名称   | Quantity<br>股份数量   | Settlement Amount<br>交收金额 | Charges<br>收费 |
|  |  |  |                           |               |
|  |  |  |                           |               |
|  |  |  |                           |               |
|  |  |  |                           |               |
| Total:   |  |  | Total:                    |               |

Hong Kong Custodian Name: HKSCC 香港托管商名称: 香港中央结算有限公司

CCASS Participant ID: B01679 中央结算系统编号: B01679

Participant Name: Tai Fung Securities Limited 参与者名称: 大丰证券有限公司

Contact info: Settlement Department 2612 4252 联络信息: 结算部 2612 4252

Client Signature

客户签署: \_\_\_\_\_

S.V.

Date

日期: \_\_\_\_\_

For Office Use Only 只供内部使用:

|                |             |                |                   |
|----------------|-------------|----------------|-------------------|
| Received by CS | Approved by | CCASS Input by | CCASS Approved by |
|                |             |                |                   |
| Name:          | Name:       | Name:          | Name:             |
| Date:          | Date:       | Date:          | Date:             |